

BEST AVAILABLE COPY

10/537836



Rec'd FEB 10 06 54 1
JAN 2005

INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

REC'D 09 JAN 2004

WIPO PCT

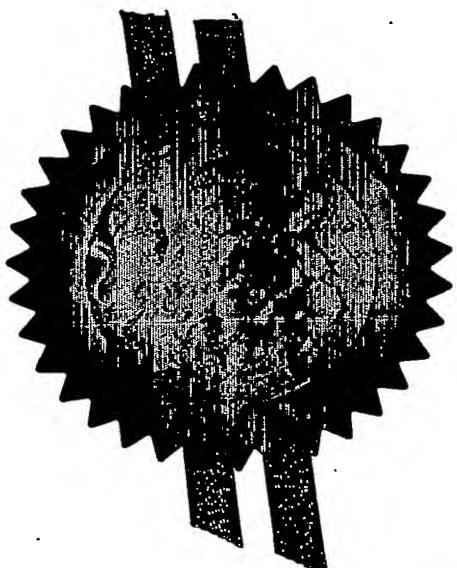
I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

I also certify that the application is now proceeding in the name as identified herein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Dated 19 December 2003

**PRIORITY
DOCUMENT**
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)



INVESTOR IN PEOPLE

GB 0228521.1

By virtue of a direction given under Section 30 of the Patents Act 1977, the application is proceeding in the name of

QUALCOMM INCORPORATED,
5775 Morehouse Drive,
San Diego,
California 92121-1714,
United States of America

Incorporated in USA - Delaware,

[ADP No. 08121014001]

06 DEC 2002

Patents
1977
6)

The
**Patent
Office**

1/77
09DEC02 E769274-7 062829
P01/7700 0.00-0228521.1

The Patent Office

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

Cardiff Road
Newport
Gwent NP10 8QQ

1. Your reference	HCD/KT/J00045030GB		
2. Patent application number (The Patent Office will fill in this part)	06 DEC 2002	0228521.1	
3. Full name, address and postcode of the or of each applicant (underline all surnames)	Qualcomm (UK) Limited Spectrum Point 2nd Floor 279 Farnborough Road Farnborough Hampshire GU14 9PL United Kingdom		
Patents ADP number (if you know it)	SECTION 30 (1977) APPLICATION FILED 22/5/03 8029621002		
If the applicant is a corporate body, give the country/state of its incorporation	United Kingdom		
4. Title of the invention	A Data Transfer Procedure for Transferring Data of a Data Sequence between a Transmitting Entity and a Receiving Entity		
5. Name of your agent (if you have one)	RGC Jenkins & Co		
"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)	26 Caxton Street London SW1H 0RJ United Kingdom		
Patents ADP number (if you know it)	03966736001		
6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications (and if you know it) the or each application number	Country	Priority application number (if you know it)	Date of filing (day / month / year)
7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application		Date of filing (day / month / year)
8. Is a statement of inventorship and of right to grant of a patent required in support of this request (Answer 'Yes' if: a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body. See note (d))	Yes		

9. Enter the number of sheets for any of the following items you are filing with this form.
Do not count copies of the same document

Continuation sheets of this form

Description 15

Claim(s) 7

Abstract 1

Drawing(s) 4 + 4 6

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translation of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77) yes

Request for preliminary examination and search (Patents Form 9/77) yes

Request for substantive examination (Patents Form 10/77)

Any other documents
(please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature

Date 6 December 2002

RGC JENKINS & CO

12. Name and daytime telephone number of person to contact in the United Kingdom

H C Dunlop - 020-7931-7141

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- i) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- ii) Write your answers in capital letters using black ink or you may type them.
- iii) If there is not enough space for all relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- iv) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- v) Once you have filled in the form you must remember to sign and date it.
- vi) For details of the fee and ways to pay, please contact the Patent Office.

A DATA TRANSFER PROCEDURE FOR TRANSFERRING DATA OF A
DATA SEQUENCE BETWEEN A TRANSMITTING ENTITY AND A
RECEIVING ENTITY

5

BACKGROUND OF THE INVENTION

I. Field of the Invention

The present invention relates generally to a data transfer procedure for transferring data of a data sequence between a transmitting entity and a receiving entity. The invention also relates to a communication system and to a transmitting entity in which the procedure is effected. The invention provides a method of and apparatus for recovering data lost in a transmission, and is useful for improving the reliability of data unit delivery in a packet radio system but is not limited to such an application.

II. Description of the Related Art

The general packet radio system (GPRS) is a packet data based communication system that has been developed for GSM networks with the aim of providing networks built to this standard with a way to handle higher data speeds and packet switched connections. GPRS can also be used in time division multiple access (TDMA) networks (IS-136). It is intended to provide a transitional path to third generation (3G) wireless data services. It enables the introduction of packet switching and Internet Protocol (IP). The GPRS standard is now well defined and is currently being deployed in existing GSM-based mobile networks, in order to provide a way for GSM operators to meet the growing demand for wireless packet data services.

The GPRS standard defines a logical link control (LLC) layer which provides a logical link between a mobile station (MS) and a serving GPRS support node (SGSN). The logical link control (LLC) provides services necessary to maintain a ciphered data link between the MS and the SGSN. The logical link is maintained as the MS moves between cells serviced by the same SGSN. When the MS moves to a cell being serviced by a different SGSN the existing connection is released and a new logical link connection is established.

The logical link control (LLC) provides for acknowledged and unacknowledged point-to-point delivery of LLC protocol data units (PDUs) between the mobile station (MS) and the serving GPRS support node (SGSN) and point to multipoint delivery of packets from the SGSN to the MS. The LLC layer also provides for detecting errors from corrupted PDUs by checking a frame check sequence (FCS) in the LLC frame format. The FCS contains the value of a cyclic redundancy check (CRC) calculation performed over a header and information fields in a frame. For the acknowledged mode of transfer, the LLC may request retransmission of the frames of data for which an acknowledgement has not been received.

Network layer protocols are intended to operate over services derived from a wide variety of sub-networks and data links. GPRS supports several network layer protocols providing protocol transparency for users of the service. All functions relating to the transfer of network protocol data units (N-PDUs) are carried out transparently by GPRS network entities. A layer known as the Sub-Network Dependant Convergence Protocol (SNDCP) provides this protocol transparency and support for a variety of network layer protocols. The SNDCP is logically situated below the network layer and above the LLC layer. It performs multiplexing of data coming from different sources before the data is sent via the logical link control (LLC) layer.

Data to be transmitted is first multiplexed by the SNDCP. The data is then segmented to maximum length LLC frames that are then sent over the LLC to the mobile station (or other network entity). At the receiving entity the SNDCP layer reassembles the data in the LLC frames. The SNDCP can request that the data be transmitted in an acknowledged mode or an unacknowledged mode. In the acknowledged mode, the receipt of data is confirmed as delivered by the LLC layer and data transmission and reception between the SNDCP and LLC layers is done in order. In the unacknowledged mode, the receipt of data is not confirmed.

The nature of logical link control (LLC) operation is such that for an acknowledged operation, network protocol data unit (N-PDU) delivery confirmations from a sending LLC entity to a sending sub-network dependant convergence protocol (SNDCP) entity may be given in a non-sequential manner. N-PDU deliveries by a receiving LLC entity to a receiving SNDCP entity, on the other hand, must be given sequentially. In the case where multiple N-PDU buffering is employed in the sending

SNDCP entity, the currently specified SNDCP operation is flawed under certain circumstances.

These circumstances may arise when the sending SNDCP entity has received delivery confirmation for a buffered N-PDU and discarded the buffered copy but, due to a loss of an earlier N-PDU on the radio link, the receiving LLC entity has not yet been able to pass on a completed sequence to the receiving SNDCP entity. Under the GPRS standard when a radio link deteriorates below a certain level or simply is broken, the system may initiate a link reestablishment procedure. Alternatively, an MS may move to another cell served by a different SGSN, and so an inter-SGSN routing area update procedure aimed at reestablishing the link may be invoked.

As a result of these procedures, the receiving LLC entity's re-sequencing buffer will be purged. In effect, the logical link is reset before continuing with the data transfer. However, if one of these procedures should occur after the sending entity has received delivery confirmation, but before the receiving LLC entity has passed on the completed sequence to the receiving SNDCP entity, the missing N-PDU will be lost in the purge. Any subsequent retransmission recovery procedures will fail to recover the lost data.

The problem may be better understood from consideration of the accompanying Figure 1, which shows an example of how the SNDCP and LLC layers at a mobile station (MS) and a serving GPRS node (SGSN) interact over time under one of the aforementioned circumstances. Figure 1 is divided into two parts. Figure 1a shows the uplink transfer of data (i.e. from a mobile station to an SGSN) under normal and deteriorating conditions, and Figure 1b shows how an LLC link is reestablished and recovered following failure of the link.

Figure 1a shows time lines for an SNDCP layer 11 and an LLC layer 12 for a mobile station (MS) 13, and time lines for an LLC layer 17 and an SNDCP layer 18 for a serving GPRS node (SGSN) 19. Initially, a register or other buffer or store 21 in the SNDCP layer 11 in the MS 13 has two network protocol data units (N-PDUs), shown as segments [5.0] and [5.1] for transfer to the SGSN 19. The GPRS standard refers to these segments as sub-network protocol data units (SNPDUs), but the term "segment" will be used herein for the sake of clarity. The legend [5.0] represents the 0th segment of the 5th N-PDU in a sequence of N-PDUs. At this point in time, the segment [5.0] has already been sent from the MS 13 to the SGSN 19 and the segment [5.1] is about to be sent. The segment [5.0] is held in a register (or other suitable

storage medium) by the SNDACP layer until it (the SNDACP layer) gets confirmation that all segments of the NPDU have been received by the receiving entity (i.e. the SGSN 19).

The SNDACP layer 11 sends a logical link data request 20 to the LLC layer 12 and that causes the LLC layer 12 to transmit an information-and-supervisory (I+S) frame 22 to the LLC 17 at the SGSN 19. The I+S frame 22 contains an acknowledgement request (A) for the receiving SGSN 19 asking it to send back an acknowledgement when the data has been received. The I+S frame 22 also contains both information in the form of the SNPDU segment [5,1] and supervisory data, which includes the send sequence number N(S) of the I+S frame.

In the example, the send sequence number is 54. Receipt of the I+S frame 22 at the LLC 17 causes the value in a register V(R) to be set to N(S)+1. The value V(R) indicates the number N(S) of the next in sequence expected to be received. N(S)=54 has just been received so the next number expected in the sequence is N(S)=55 and therefore the value in the register V(R) is set to 55. The LLC 17 passes the segment [5,1] up to the SNDACP 18 together with an indication LL-DATA-IND 23 indicating receipt at the LLC layer of all segments in sequence of this, the 5th, N-PDU.

The receiving LLC 17 also sends a supervisory S frame 24 back down to the sending LLC 12 in the MS 13, which S frame 24 includes a receiver ready RR flag and a next in sequence field N(R) indicating the number of the next frame in the sequence expected to be received by the LLC 17, in this case field 55. The LLC 12 at the MS 13 reacts to that S frame 24 by setting a register V(A) equal to 55, i.e. the value V(A) of the next in-sequence frame number to be acknowledged as received. That, in turn, causes a confirmation of receipt LL-DATA-CNF 25 to be sent up to the SNDACP layer 11 of the MS, confirming that both segments 0 and 1 of the 5th N-PDU have been delivered. This causes the SNDACP 11 to delete the buffered copy of the 5th NPDU from its buffer 21.

The next N-PDU segment in the sequence is [6,0]. For the sake of this example, the segment is shown as being transmitted in an I+S frame 26 without a request for an acknowledgment. The N-PDU [6,0] is transferred over to the receiving SNDACP 18 of the SGSN 19 in the same manner as previously described, except that there is no acknowledgement sent back once the data has been received. A register 27 in the LLC 12 of the MS 13 holds a buffered copy of the frame N(S)=55, that records

that the data has been sent but not acknowledged as received by the receiving LLC entity 17.

For the purpose of explanation, assume now that the transfer conditions deteriorate, as represented by the broken line 28 in Figure 1a. The next N-PDU segment in the sequence [6,1] is transferred from the SNDACP layer of the MS 13 to the LLC layer 12 where it is transmitted in an I+S frame 29 together with other system data including an acknowledgement request A. An entry, $N(S)=56$ is added to the register 27 indicating that that data too has been sent and not yet acknowledged as received.

Normally, the receiving LLC entity 17 would in due course send back an acknowledgement of receipt of the segment [6,1], as requested. Receipt of the segment [6,0] is implicit in the receipt of an acknowledgement of the segment [6,1]. However, in this example, the segment [6,1] has not transferred to the receiving SGSN and therefore no acknowledgement is sent by the receiving LLC layer 17.

Next, the SNDACP provides N-PDU [7,0] to the LLC 12 for transmission. Buffered frame $N(S)=57$ is added to the register 27 for the segment [7,0] and the segment is transmitted between the LLCs 12 and 17 in an I+S frame 30. That frame 30 gets through to the receiving LLC 17. However, the receiving LLC 17 can determine from the register $V(R)=56$ that the next in sequence frame should be 56, and not 57, the sequence number in the frame that it has just received. It therefore determines that the 56th frame in the sequence has not yet got through.

As mentioned previously herein, one of the tasks of the LLC layer is to order the data in the correct sequence before passing it up to the SNDACP. The LLC 17 therefore holds onto segment [7,0] and does not pass it up to the SNDACP layer 18 of the SGSN 19. It does, however, send back an acknowledgement ACK in an S frame 31, together with an indicator $N(R)=56$. The acknowledgement is for the frame 57 (for which an acknowledgement was requested) and the indicator tells the sending LLC 12 that the receiving LLC has received all frames up to frame 55.

Implicit in this is that the segment conveyed by frame $N(S)=56$ is therefore lost. The sending LLC 12 responds to this implicit information by clearing the register 27 of the buffered frame copies $N(S)=55$ and $N(S)=57$, because these have been acknowledged as having been received by the SGSN 19, and by sending information LL-DATA-CNF 33 confirming delivery to the SGSN 19. First, the LLC 12 sends up a receipt of the [6,0] segment and next it sends up a delivery confirmation for the [7,0]

segment. The SNDACP deduces from this that segment [6,1] has not been received and so holds onto buffered copies of both it and segment [6,0]. Together segments [6,0] and [6,1] make up the 6th N-PDU and all of the information for that N-PDU is retained by the transmitting SNDACP 11, until delivery has been confirmed.

5 At this point in time the LLC 12 attempts to retransmit the missing segment [6,1], as represented by broken line 30'. The SNDACP 11 provides the LLC 12 with the next segment [7,1] in the sequence for transmission to the receiving entity. The register 27 therefore contains retransmission copies of both the segment [6,1] (N(S)=56) and the segment [7,1] (N(S)=58). An I+S frame 35 is transmitted
10 containing segment [7,1] and that frame is received by the receiving LLC.

The Receiving LLC sends back a S frame 36 to the transmitting LLC, which S frame 36 contains both a selective acknowledgement (SACK) of the two sequence numbers N(S)= 57 and 58 and the indicator N(R)=56. The presence of the indicator, again, implies that frame 56 is still lost. The retransmission copy of frame of N(S)=58
15 is removed from the register 27 and a signal LL-DATA-CNF 38 confirming delivery of the segment [7,1] is sent up to the SNDACP 11. The segments [7,0] and [7,1] are therefore considered by the SNDACP layer 11 to have been delivered and are removed from the retransmission buffer of that layer.

The mobile station then waits for a predetermined period of time as
20 determined by a system timer 40, known as "T201". When the T201 timer period expires, another attempt is made (Retx#2) to transmit the I+S frame 30" containing the segment [6,1]. That attempt also fails (as determined by a lack of a reply by the time that T201 40' again expires) and so a further attempt is made to transmit the I+S frame. This is represented by the retransmission (Retx#3) 30'" shown at the bottom of
25 Figure 1a.

The timeline is continued at the top of Figure 1b. When the number of failed retransmissions is equal to a value held in a register 41 known in the GPRS standard as "N200" (in this example N200=3), the LLC 12 at the MS 13 responds by abandoning the retries and attempting instead to reestablish the LLC link. The LLC 12
30 at the transmitting mobile station (MS) transmits an unnumbered or "U" frame 42 containing an LLC layer 2 initiated SABM command (set asynchronous balanced mode). The purpose of this is to cause the LLC link to be reset prior to the link being reestablished.

The LLC at the receiving SGSN responds by sending back to the LLC at the transmitting MS a U frame 43 containing an unnumbered acknowledgement response (UA layer 2) which is taken as an acknowledgement of the SABM command. Both LLCs 12, 17 then send a signal LL-ESTABLISH-IND to their respective SNDACP 5 layers 11, 18 containing the information XID=None, which implies a layer 2 originated instruction, i.e. an LLC originating instruction. The registers at LLC layers in both the transmitting MS and the receiving SGSN are then flushed (contents reset to zero or other quiescent state) and the LLC link is thus reset.

The next few operations serve to recover from re-establishing the link. Note 10 the similarity with the first few exchanges shown in Figure 1a. First, the SNDACP 11 at the MS 13 resends down to the LLC layer 12 the data for segment [6,0] in the form of a logical link data request 44 that causes the LLC layer 12 to transmit an information-and-supervisory (I+S) frame 45 to the receiving LLC 17 at the SGSN 19.

This I+S frame 45 ($N(S)=0$) is received in-sequence, so the data for the N-15 PDU segment [6,0] is simply passed by the LLC layer 17 up to the receiving SNDACP 19. The I+S frame 45 contains supervisory data, which includes the send sequence number $N(s)$ of the N-PDU. In the example, the send sequence number $N(S)$ is now 0 because the LLC layers have been reset. Receipt of the I+S frame 45 at the LLC 17 causes the value in a register $V(R)$ to be set to $N(S)+1=1$. The value $V(R)$ indicates 20 the number of the next in sequence expected to be received. The LLC layers have been reset to zero so the next number expected to be received will be 1, i.e. $V(R)=1$.

The segment [6,1] is next resent down from the SNDACP layer 11 of the MS 13 to the LLC layer 12. The LLC layer 12 reacts by transmitting another information-and-supervisory (I+S) frame 46 to the LLC 17 at the SGSN 19. This time the I+S 25 frame 46 does contain an acknowledgement field A, effectively asking the receiving LLC 17 to send back an acknowledgement when the data has been received. The I+S frame 46 also contains the send sequence number $N(S)=1$. Receipt of the I+S frame 46 at the LLC 17 causes the value $V(R)$ to be set to 2. The LLC 17 passes the segment [6,1] up to the SNDACP 18 as it was received in-sequence.

30 The LLC 17 also sends a supervisory S frame 48 back down to the LLC 12 in the MS 13 including a receiver ready RR flag and a next in sequence field $N(R)$ indicating the number of the next frame in the sequence expected to be received by the LLC 17, in this case field 2. The LLC 12 at the MS 13 reacts to that S frame 48 by setting a register $V(A)$ equal to 2, i.e. the value of the next in-sequence frame number

to be acknowledged as received. That, in turn, causes a confirmation of delivery LL-DATA-CNF to be sent up to the SNDCP layer 11 of the MS 13, confirming that both segments 0 and 1 of the 6th N-PDU have been delivered.

The SNDCP 11 was "told" earlier that the receiving SGSN had received the 7th N-PDU. When the receiving LLC 17 received segments [7,0] and [7,1] it sent back an acknowledgement SACK=57+58 in an S frame 36 (see Figure 1a) and the retransmission copy of NPDPU 7 was deleted from register 21. The 6th N-PDU has also been received and acknowledged as such, so the SNDCP "thinks" that the next segment to be sent is [8,0] and behaves accordingly.

However, the truth is that the 7th N-PDU never got sent up to the SNDCP 18 layer of the receiving SGSN 19 because the LLC 17 thereof was waiting for the receipt of segment [6,1], so that it could pass all of the segments [6,1], [7,0] and [7,1] up to the SNDCP layer 18 in-sequence, as it is required to do. When the LLC layers 12, 17 were reset the segments [7,0] and [7,1] in LLC 17 were deleted. As the sending SNDCP 11 has already deleted its retransmission copy of NPDPU 7 when earlier delivery confirmation was given by sending LLC 12, there is no way to resend NPDPU 7 and so NPDPU 7 is permanently lost under these procedures.

However, this is unsatisfactory because the purpose of the LLC and SNDCP layers in the acknowledged mode of operation is to provide a highly reliable link without data loss, for use by fault intolerant applications. The standard as currently defined is therefore failing in its stated task.

SUMMARY OF THE INVENTION

According to one aspect of the invention there is provided a data transfer procedure for transferring data of a data sequence between a transmitting entity and a receiving entity, which entities each comprise a higher data handling layer and a lower data handling layer, the procedure comprising: transferring down from the higher data handling layer of the transmitting entity to the lower data handling layer of the transmitting entity a data unit of the data sequence, which data unit comprises at least one segment; transmitting via a transmission link between the transmitting entity and the receiving entity each of the at least one segment from the lower data handling level of the transmitting entity to the lower data handling level of the receiving entity; sending an acknowledgement of receipt of the at least one segment from the lower data handling level of the receiving entity to the lower data handling

level of the transmitting entity; transferring up the at least one segment from the lower data handling layer of the receiving entity to the higher data handling layer of the receiving entity in data sequence order; and wherein the higher data handling layer of the transmitting entity is arranged to retain a copy of the data unit until such time as 5 an at least implied acknowledgement of receipt of earlier segments in the sequence is sent back from the receiving entity to the lower data handling level of the transmitting entity.

According to another aspect of the invention there is provided a data transfer procedure for transferring to a receiving entity data of a data sequence from a 10 transmitting entity comprising a higher data handling layer and a lower data handling layer, the procedure comprising: transferring down from the higher data handling layer to the lower data handling layer a data unit of the data sequence, which data unit comprises at least one segment; transmitting on a transmission link from the lower data handling level of the transmitting entity each of the at least one segment for the 15 receiving entity; receiving at the lower data handling level an acknowledgement of receipt of the at least one segment from the receiving entity; and wherein the higher data handling layer of the transmitting entity is arranged to retain a copy of the data unit until such time as an at least implied acknowledgement of receipt of earlier segments in the sequence is received from the receiving entity at the lower data 20 handling level.

According to a further aspect of the invention there is provided a communication system comprising: a transmitting entity for transmitting data of a data sequence, which transmitting entity comprises a higher data handling layer and a lower data handling layer; a receiving entity for receiving the data of the data 25 sequence, which receiving entity comprises a higher data handling layer and a lower data handling layer; means for transferring down from the higher data handling layer of the transmitting entity to the lower data handling layer of the transmitting entity a data unit of the data sequence, which data unit comprises at least one segment; means for transmitting via a transmission link between the transmitting entity and the 30 receiving entity each of the at least one segment from the lower data handling level of the transmitting entity to the lower data handling level of the receiving entity; means for sending an acknowledgement of receipt of the at least one segment from the lower data handling level of the receiving entity to the lower data handling level of the transmitting entity; means for transferring up the at least one segment from the lower

data-handling layer of the receiving entity to the higher data handling layer of the receiving entity in data sequence order; and wherein the higher data handling layer of the transmitting entity is arranged to retain a copy of the data unit until such time as an at least implied acknowledgement of receipt of earlier segments in the sequence is sent back from the receiving entity to the lower data handling level of the transmitting entity.

The invention also provides transmitting entity for transmitting data of a data sequence for a receiving entity in a communications system, the transmitting entity comprising: a higher data handling layer; a lower data handling layer; means for transferring down from the higher data handling layer to the lower data handling layer a data unit of the data sequence, which data unit comprises at least one segment; means for transmitting on a transmission link from the lower data handling level each of the at least one segment for the receiving entity; means for receiving at the lower data handling level an acknowledgement of receipt of the at least one segment from the receiving entity; and means for causing the higher data handling layer to retain a copy of the data unit until such time as an at least implied acknowledgement of receipt of earlier segments in the sequence is received at the lower data handling level from the receiving entity.

The above and further features of the invention are set forth with particularity in the appended claims and together with advantages thereof will become clearer from consideration of the following detailed description of an exemplary embodiment of the invention given with reference to the accompanying drawings.

25 BRIEF DESCRIPTION OF THE DRAWINGS

In the drawings:

Figure 1a shows an existing procedure for an uplink transfer of data under normal and deteriorating conditions, as previously described herein;

Figure 1b shows an existing procedure for reestablishing and recovering a link following failure of the link, as previously described herein;

Figure 2a shows a new procedure for an uplink transfer of data under normal and deteriorating conditions; and

Figure 2b shows a new procedure for reestablishing and recovering a link following failure of the link.

DETAILED DESCRIPTION OF AN EMBODIMENT OF THE INVENTION

In a GPRS system where reliable delivery of network layer packets is required, it is the function of the logical link control (LLC) layer and the radio link control (RLC) layer to ensure that where delivery has been unsuccessful, appropriate action is taken to retransmit lost information and so guarantee delivery. The LLC and RLC layers have limits to the performance of their recovery mechanisms. The sub-network dependant convergence protocol (SNDCP) is permitted to maintain its own recovery mechanism of retransmission to supplement that of the lower layers, for use when such limits are exceeded.

One of the functions of the sub-network dependant convergence protocol (SNDCP) is to take network layer packets, so-called network protocol data units (N-PDUs), and to segment these into potentially smaller segments, so-called sub-network protocol data units (SNPDUs), for encapsulating into link layer protocol data units (LLPDUs), which are given to the LLC layer for transport to the receiving SNDCP entity.

The receiving SNDCP entity must re-assemble the segments (SNPDUs) into N-PDUs for onward delivery to the network layer. It is crucial that the receiving LLC layer deliver these to the receiving SNDCP layer in the correct sequence for successful reassembly. Where segments (SNPDUs) are lost due to error in the radio link, the LLC layer is responsible for re-sequencing any received but retransmitted SNPDUs before delivery to the receiving SNDCP.

The sending SNDCP entity is sent confirmation of SNPDU delivery by the LLC layer when the sending LLC entity receives acknowledgement signaling from the receiving LLC entity. The nature of LLC operation is such that SNPDU delivery confirmations to the sending SNDCP entity may arrive out of sequence, and only signify the successful delivery to the receiving LLC entity. SNPDUs will only be passed up to the receiving SNDCP entity when the receiving LLC entity has received complete SNPDU sequences, i.e. when it has received all of the segments of an N-PDU.

The GPRS standard defines a LLC link re-establishment procedure, which is of no consequence under regular operational conditions, but which is invoked under poor transfer conditions when the LLC entity's link with its peer is lost. The LLC link re-establishment procedure resets the LLC layer and purges LLC re-transmission and

re-sequencing buffers. Under such conditions, as previously explained herein, a sending SNDCP entity may have been given confirmation of SNPDU delivery, but because the receiving LLC layer had not yet completed a re-sequencing of the SNPDUs, they have now been deleted before the receiving SNDCP entity had 5 actually received them.

The sending SNDCP entity maintains a buffered copy of the original NPDUs, and does not delete this until all SNPDU segments of the original NPDUs are confirmed delivered. Therefore, an LLC link re-establishment procedure can cause the SNDCP entity to retransmit all SNPDU segments of the N-PDU as a recovery 10 mechanism. However, where the sending SNDCP entity buffers multiple N-PDUs, this recovery mechanism is insufficient to guarantee successful recovery.

The existing mechanism is flawed in that where a buffered NPDUs copy is discarded, the delivered and confirmed NPDUs (as a sequence of SNPDUs) may have been purged during LLC link re-establishment whilst awaiting re-sequencing, because 15 of an earlier NPDUs not having been fully delivered.

This problem can, however, be overcome by modifying the procedure to ensure that buffered N-PDUs are discarded in-sequence, in a manner consistent with the in-sequence delivery by the receiving LLC layer to the receiving SNDCP layer. Thus, when the receiving LLC entity's re-sequencing buffer is purged during link re-establishment, the sending SNDCP entity's recovery process can successfully 20 retransmit all purged data without loss.

An example of how the modified procedure operates in practice is shown in Figure 2 of the accompanying drawings. This diagram is similar to Figure 1 in that it shows an example of SNDCP and LLC layers at a mobile station (MS) and a serving 25 GPRS node (SGSN) interacting over time. Like Figure 1, Figure 2 is divided into two parts that show (a) an uplink transfer of data (i.e. from a mobile station to an SGSN) under normal and deteriorating conditions, and (b) LLC link recovery following failure of the link. In the interest of brevity, the following description will concentrate on the differences in Figure 2.

Figure 2a is identical to Figure 1a in that it begins with the register 21 in the SNDCP layer 11 in the MS 13 holding two network protocol data units (N-PDUs), shown as segments [5,0] and [5,1] for transfer to the SGSN 19. The procedure continues as described previously with reference to Figure 1a up to the point in time 30 where the S frame 36, containing both a selective acknowledgement (SACK) of the

two sequence numbers $N(S)=57$ and 58 and the indicator $N(R)=56$, is received by the LLC 12 and the LLC deletes the retransmission copy of frame $N(S)=58$ from the register 27. However, unlike Figure 1a, the retransmission copies of segments (SNPDUs) [7,0] and [7,1] are not deleted from the register 21 in the SNDACP layer 11, 5 but are simply marked as confirmed. As the segment (SNPDU) [6,1] has not been confirmed as delivered, but that a later segment such as [7,0] has been confirmed as delivered, it is therefore known by implication that the receiving LLC entity 17 has not yet passed segments (SNPDUs) [7,0] and [7,1] to the receiving SNDACP layer 18, as it must wait for completed sequences to be received before doing so. The re- 10 sequencing buffer is at risk of being purged as a result of one of two aforementioned procedures. It is therefore better for the sending SNDACP entity 11 to retain retransmission copies of confirmed segments (SNPDUs) [7,0] and [7,1] in case of such an event, and only delete them if confirmed as delivered in-sequence.

The remainder of Figure 2a is identical to what is shown in Figure 1a, with the 15 LLC layer of the MS attempting second and third retransmissions 30" and 30" of the [6,1] segment following time-outs of the T201 system timer 40, 40'.

At the top of Figure 2b, and in the same manner as previously described with reference to Figure 1b, during a link reestablishment period 51 when the number of failed retransmissions $N200=3$, the LLC 12 at the MS 13 responds by abandoning the 20 retries and attempting instead to reestablish the LLC link. This results in the registers 27 at the LLC layers 12, 17 in both the transmitting MS 13 and the receiving SGSN 19 being flushed so that their contents are reset to zero.

However, unlike Figure 1b, at the end of the link reestablishment period 51 after the LLC layers have been flushed, the transmitting SNDACP layer 11 still has the buffered retransmission copies of segments [7,0] and [7,1] in its register 21, together with the buffered retransmission copies of segments [6,0] and [6,1]. Thus, when the system reestablished the LLC link, the transmitting SNDACP 11 determines that it has to resend both the segments of the 6th N-PDU and the segments of the 7th N-PDU.

The next stage of the procedure is reestablishment recovery 52, which begins 30 with the SNDACP 11 at the MS 13 sending down to the LLC layer 12 the data for segment [6,0]. The data is sent down in the form of a logical link data request LL-DAT-REQ 64 that causes the LLC layer 12 to transmit an information-and-supervisory (I+S) frame 65 to the receiving LLC 17 at the SGSN 19.

This I+S frame 65 is received in-sequence, so the data for the N-PDU segment [6,0] is simply passed by the LLC layer 17 up to the receiving SNDACP 19. The I+S frame 65 contains supervisory data, which includes the send sequence number N(S) of the N-PDU. In the example, the send sequence number N(S) is now 0 because the 5 LLC layers have been reset. Receipt of the I+S frame 65 at the LLC 17 causes the value in a register V(R) 67 to be set to $N(S)+1=1$. The value V(R) indicates the number of the next in sequence frame that LLC 17 would expect to be received. The LLC layers have been reset to zero so the next number expected to be received will be 1, i.e. $V(R)=1$.

10 The segment [6,1] is the next one passed down from the SNDACP layer 11 of the MS 13 to the LLC layer 12. The LLC layer 12 reacts by transmitting another information-and-supervisory (I+S) frame 66 to the LLC 17 at the SGSN 19. This time the I+S frame 66 does contain an acknowledgement field A, effectively asking the receiving LLC 17 to send back an acknowledgement when the data has been received.

15 The I+S frame 66 also bears the send sequence number $N(S)=1$. Receipt of the I+S frame 66 at the LLC 17 causes the value V(R) to be set to 2. The LLC 17 passes the segment [6,1] up to the SNDACP 13 as it was received in-sequence.

The LLC 17 also sends a supervisory S frame 68 back down to the LLC 12 in the MS 13 including a receiver ready RR flag and a next in sequence field N(R) 20 indicating the number of the next frame in the sequence expected to be received by LLC 17, in this case field 2. The LLC 12 at the MS 13 reacts to that S frame 68 by setting a register V(A) 69 equal to 2, i.e. the value of the last in-sequence frame number which would be expected to be acknowledged as received. That, in turn, causes a confirmation of receipt LL-DATA-CNF to be sent up to the SNDACP layer 11 25 of the MS 13, confirming that both segments 0 and 1 of the 6th N-PDU have been delivered. Because the segments of the 6th N-PDU have been confirmed in-sequence, they are then removed from the SNDACP layer 11, leaving the segments of the 7th N-PDU in the SNDACP layer 11 for transmission.

As can be seen from Figure 2b, the procedure for the transmission of the 30 segments [7,0] and [7,1] of the 7th N-PDU from the SNDACP 11 of the MS 13 to the SNDACP 18 of the SGSN 19 is exactly the same as that for the 6th N-PDU. Signals 74 to 78 relating to the segments of the 7th N-PDU correspond with signals 64 to 68 relating to the segments of the 6th N-PDU.

Once a confirmation of receipt LL-DATA-CNF has been sent up to the SNDCLP layer 11 of the MS 13, confirming that both segments 0 and 1 of the 7th N-PDU have been received, the transfer continues in the usual manner 80 for the next N-PDU in the sequence (i.e. the 8th N-PDU).

5 It will be appreciated from the foregoing example that the method described provides greater reliability of data transfer at the SNDCLP layer under adverse channel conditions than was previously possible, due to the successful delivery of NPDPU 7 to SNDCLP 18 of SGSN 19.

Having thus described the invention by reference to a preferred embodiment it
10 is to be well understood that the embodiment in question is exemplary only and that modifications and variations such as will occur to those possessed of appropriate knowledge and skills may be made without departure from the spirit and scope of the invention as set forth in the appended claims and equivalents thereof.

CLAIMS:

1. A data transfer procedure for transferring data of a data sequence between a transmitting entity and a receiving entity, which entities each comprise a higher data handling layer and a lower data handling layer, the procedure comprising:

5 transferring down from the higher data handling layer of the transmitting entity to the lower data handling layer of the transmitting entity a data unit of the data sequence, which data unit comprises at least one segment;

10 transmitting via a transmission link between the transmitting entity and the receiving entity each of the at least one segment from the lower data handling level of the transmitting entity to the lower data handling level of the receiving entity;

15 sending an acknowledgement of receipt of the at least one segment from the lower data handling level of the receiving entity to the lower data handling level of the transmitting entity;

20 transferring up the at least one segment from the lower data handling layer of the receiving entity to the higher data handling layer of the receiving entity in data sequence order;

25 and wherein the higher data handling layer of the transmitting entity is arranged to retain the data unit until such time as an at least implied acknowledgement of receipt of earlier segments in the sequence is sent back from the receiving entity to the lower data handling level of the transmitting entity.

2. A data transfer procedure as claimed in claim 1, wherein the higher data handling layer of the transmitting entity comprises a store for storing the data unit, and the data unit is retained in the store until the acknowledgement of receipt has been sent back, when the data unit is then removed from the store.

3. A data transfer procedure as claimed in claim 1 or 2, wherein the at least one segment of each data unit in the data sequence has a position in the data sequence, the lower data handling layer of the transmitting entity comprises a store for storing data pertaining to the position of the at least one segment transmitted therefrom, and the lower data handling layer of the receiving entity comprises a store for storing data pertaining to the position of the at least one segment received thereby.

4. A data transfer procedure as claimed in claim 3, further comprising:
determining that the transmission link is broken; and
when the transmitting link is broken, reestablishing the transmitting link by
purging the stores of data in the lower data handling layers of both the transmitting
entity and the receiving entity.

5. A data transfer procedure as claimed in claim 4, wherein the transmitting
link is determined to be broken by:
the transmitting entity waiting for a period of time for the acknowledgement of
receipt of at least one segment from the lower data handling level of the receiving
entity;
the lower data handling level of the transmitting entity retransmitting the at
least one segment when the acknowledgement of receipt is not received;
repeating the waiting and retransmitting; and
deciding that the link is broken after the waiting and retransmitting have been
repeated a number of times.

6. A data transfer procedure as claimed in claim 5, further comprising:
when the transmitting link is reestablished, transferring down from the higher
data handling layer of the transmitting entity to the lower data handling layer of the
transmitting entity a data unit of the data sequence for which an acknowledgement of
receipt was not received before the transmitting link was broken.

7. A data transfer procedure as claimed in any preceding claim, wherein the
transmitting entity is a mobile station and the receiving entity is a serving GPRS
support node in a GPRS system.

8. A data transfer procedure as claimed in claim 7, wherein the higher data
handling layers are both SNDCP layers and the lower data handling layers are both
LLC layers.

9. A data transfer procedure for transferring to a receiving entity data of a data
sequence from a transmitting entity comprising a higher data handling layer and a
lower data handling layer, the procedure comprising:

transferring down from the higher data handling layer to the lower data handling layer a data unit of the data sequence, which data unit comprises at least one segment;

5 transmitting on a transmission link from the lower data handling level of the transmitting entity each of the at least one segment for the receiving entity;

receiving at the lower data handling level an acknowledgement of receipt of the at least one segment from the receiving entity;

10 and wherein the higher data handling layer of the transmitting entity is arranged to retain the data unit until such time as an at least implied acknowledgement of receipt of earlier segments in the sequence is received from the receiving entity at the lower data handling level.

15 10. A data transfer procedure as claimed in claim 9, wherein the higher data handling layer comprises a store for storing the data unit, and the data unit is retained in the store until the acknowledgement of receipt has been received, when the data unit is then removed from the store.

20 11. A data transfer procedure as claimed in claim 9 or 10, wherein the at least one segment of each data unit in the data sequence has a position in the data sequence, and the lower data handling layer comprises a store for storing data pertaining to the position of the at least one segment transmitted therefrom.

25 12. A data transfer procedure as claimed in claim 11, further comprising: determining that the transmission link is broken; and when the transmitting link is broken, reestablishing the transmitting link by purging the stores of data in the lower data handling layer.

13. A data transfer procedure as claimed in claim 12, wherein the transmitting link is determined to be broken by:

30 waiting for a period of time for the acknowledgement of receipt of at least one segment from the receiving entity;

the lower data handling level retransmitting the at least one segment when the acknowledgement of receipt is not received;

repeating the waiting and retransmitting; and

deciding that the link is broken after the waiting and retransmitting have been repeated a number of times.

14. A data transfer procedure as claimed in claim 13, further comprising:
5 when the transmitting link is reestablished, transferring down from the higher data handling layer to the lower data handling layer a data unit of the data sequence for which an acknowledgement of receipt was not received before the transmitting link was broken.

10 15. A data transfer procedure as claimed in any of claims 9 to 14, wherein the transmitting entity is a mobile station for a GPRS system.

16. A data transfer procedure as claimed in claim 15, wherein the higher data handling layer is an SNDCP layer and the lower data handling layers is an LLC layer.

15 17. A communication system comprising:
a transmitting entity for transmitting data of a data sequence, which transmitting entity comprises a higher data handling layer and a lower data handling layer;
20 a receiving entity for receiving the data of the data sequence, which receiving entity comprises a higher data handling layer and a lower data handling layer;
means for transferring down from the higher data handling layer of the transmitting entity to the lower data handling layer of the transmitting entity a data unit of the data sequence, which data unit comprises at least one segment;
25 means for transmitting via a transmission link between the transmitting entity and the receiving entity each of the at least one segment from the lower data handling level of the transmitting entity to the lower data handling level of the receiving entity,
means for sending an acknowledgement of receipt of the at least one segment from the lower data handling level of the receiving entity to the lower data handling 30 level of the transmitting entity;
means for transferring up the at least one segment from the lower data handling layer of the receiving entity to the higher data handling layer of the receiving entity in data sequence order;

and wherein the higher data handling layer of the transmitting entity is arranged to retain the data unit until such time as an at least implied acknowledgement of receipt of earlier segments in the sequence is sent back from the receiving entity to the lower data handling level of the transmitting entity.

5

18. A communication system as claimed in claim 17, wherein the higher data handling layer of the transmitting entity comprises a store for storing the data unit, the data handling layer being arranged to retain the data unit in the store until the acknowledgement of receipt has been sent back, when the data unit is then removed
10 from the store.

19. A communication system as claimed in claim 17 or 18, wherein the at least one segment of each data unit in the data sequence has a position in the data sequence, the lower data handling layer of the transmitting entity comprises a store for storing
15 data pertaining to the position of the at least one segment transmitted therefrom, and the lower data handling layer of the receiving entity comprises a store for storing data pertaining to the position of the at least one segment received thereby.

20. A communication system as claimed in claim 19, further comprising:
means for determining that the transmission link is broken; and
means for reestablishing the transmitting link by purging the stores of data in
the lower data handling layers of both the transmitting entity and the receiving entity.

21. A communication system as claimed in claim 20, wherein the means for
25 determining that the transmission link is broken is operable:

to cause the transmitting entity to wait for a period of time for the acknowledgement of receipt of at least one segment from the lower data handling level of the receiving entity;

30 to cause the lower data handling level of the transmitting entity to retransmit the at least one segment when the acknowledgement of receipt is not received;
to repeat the waiting and retransmitting; and
to decide that the link is broken after the waiting and retransmitting have been repeated a number of times.

22. A communication system as claimed in claim 21, further comprising:
means, operable when the transmitting link is reestablished, for transferring
down from the higher data handling layer of the transmitting entity to the lower data
handling layer of the transmitting entity a data unit of the data sequence for which an
acknowledgement of receipt was not received before the transmitting link was broken.

5

23. A communication system as claimed in any of claims 17 to 22, wherein the
transmitting entity is a mobile station and the receiving entity is a serving GPRS
support node in a GPRS system.

10

24. A communication system as claimed in claim 23, wherein the higher data
handling layers are both SNDCP layers and the lower data handling layers are both
LLC layers.

15

25. A transmitting entity for transmitting data of a data sequence for a
receiving entity in a communications system, the transmitting entity comprising:

a higher data handling layer;

a lower data handling layer;

20

means for transferring down from the higher data handling layer to the lower
data handling layer a data unit of the data sequence, which data unit comprises at
least one segment;

means for transmitting on a transmission link from the lower data handling
level each of the at least one segment for the receiving entity;

25

means for receiving at the lower data handling level an acknowledgement of
receipt of the at least one segment from the receiving entity; and

means for causing the higher data handling layer to retain the data unit until
such time as an at least implied acknowledgement of receipt of earlier segments in the
sequence is received at the lower data handling level from the receiving entity.

30

26. A transmitting entity as claimed in claim 25, wherein the higher data
handling layer comprises a store for storing the data unit, and the data unit is retained
in the store until the acknowledgement of receipt has been received, when the data
unit is then removed from the store.

27. A transmitting entity as claimed in claim 25 or 26, wherein the at least one segment of each data unit in the data sequence has a position in the data sequence, and the lower data handling layer comprises a store for storing data pertaining to the position of the at least one segment transmitted therefrom.

5

28. A transmitting entity as claimed in claim 27, further comprising:
means for determining that the transmission link is broken; and
means for reestablishing the transmitting link by purging the stores of data in the lower data handling layer.

10

29. A transmitting entity procedure as claimed in claim 28, wherein the means for determining that the transmission link is broken is operable:
to wait for a period of time for the acknowledgement of receipt of at least one segment from the receiving entity;
15 to cause the lower data handling level to retransmit the at least one segment when the acknowledgement of receipt is not received;
to repeat the waiting and retransmitting; and
to decide that the link is broken after the waiting and retransmitting have been repeated a number of times.

20

30. A transmitting entity as claimed in claim 29, further comprising:
means for transferring down from the higher data handling layer to the lower data handling layer a data unit of the data sequence for which an acknowledgement of receipt was not received before the transmitting link was broken.

25

31. A transmitting entity as claimed in any of claims 25 to 30, wherein the transmitting entity is a mobile station for a GPRS system.

30 32. A transmitting entity as claimed in claim 31, wherein the higher data handling layer is an SNDCP layer and the lower data handling layers is an LLC layer.

ABSTRACT

A DATA TRANSFER PROCEDURE FOR TRANSFERRING DATA OF A DATA SEQUENCE BETWEEN A TRANSMITTING ENTITY AND A RECEIVING ENTITY

5

A data transfer procedure enables data of a data sequence to be transferred between a transmitting entity 13 and a receiving entity 19. The entities each comprise a higher data handling layer 11,17 and a lower data handling layer 12,18. The procedure comprises transferring down from the higher data handling layer 11 of the transmitting entity 13 to the lower data handling layer 12 of the transmitting entity a data unit of the data sequence, which data unit comprises one or more segments. The or each segment is transmitted from the lower data handling level 12 of the transmitting entity 13 to the lower data handling level 17 of the receiving entity 19 via a transmission link between the transmitting entity 13 and the receiving entity 19. An acknowledgement of receipt of the or each segment is sent from the lower data handling level 17 of the receiving entity to the lower data handling level 12 of the transmitting entity. The or each segment is transferred from the lower data handling layer 17 of the receiving entity to the higher data handling layer 18 of the receiving entity in data sequence order. The higher data handling layer of the transmitting entity 13 is arranged to retain a copy of the data unit until such time as an at least implied acknowledgement of receipt of earlier segments in the sequence is sent back from the receiving entity 19 to the lower data handling level of the transmitting entity.

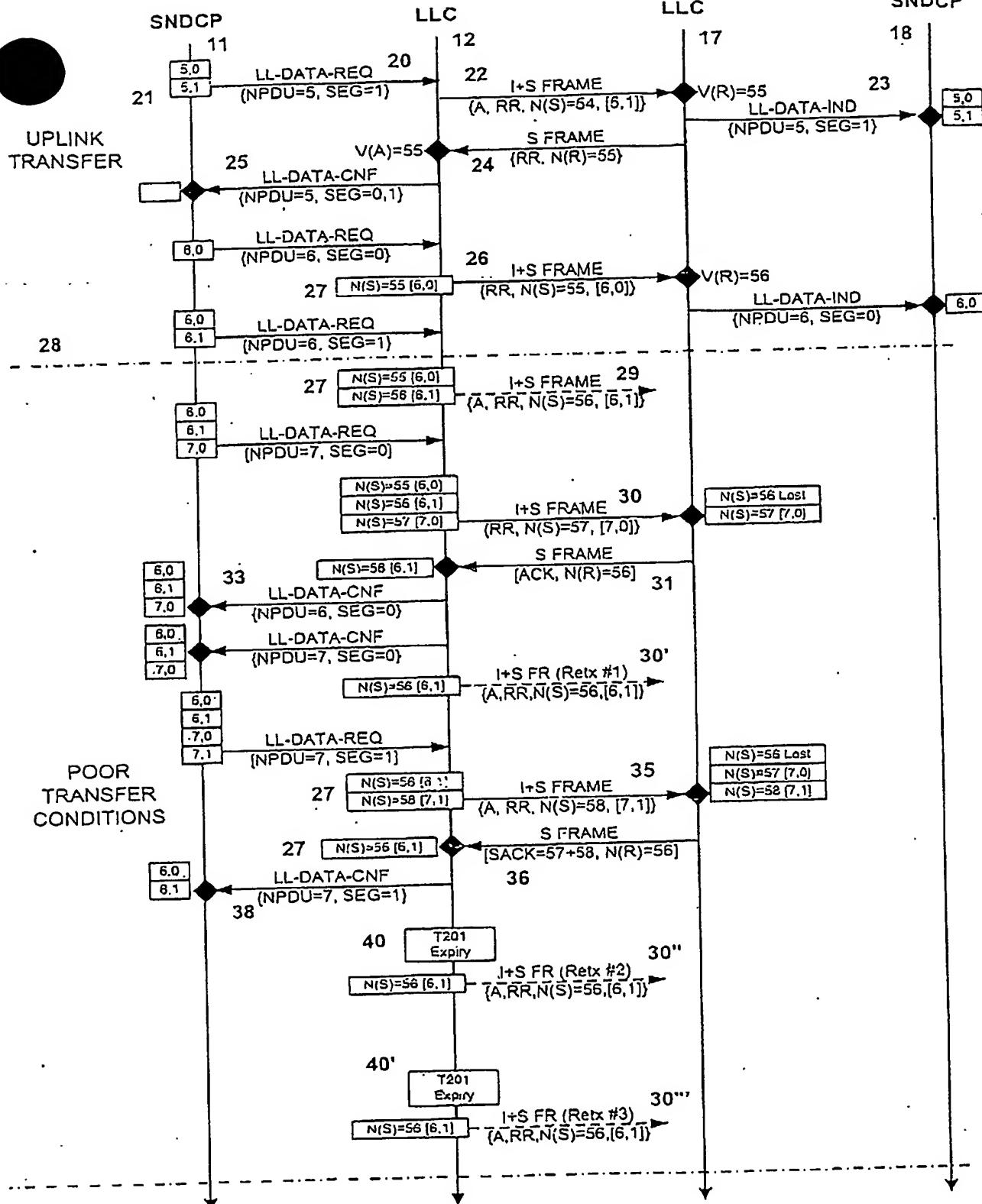


Figure 1a

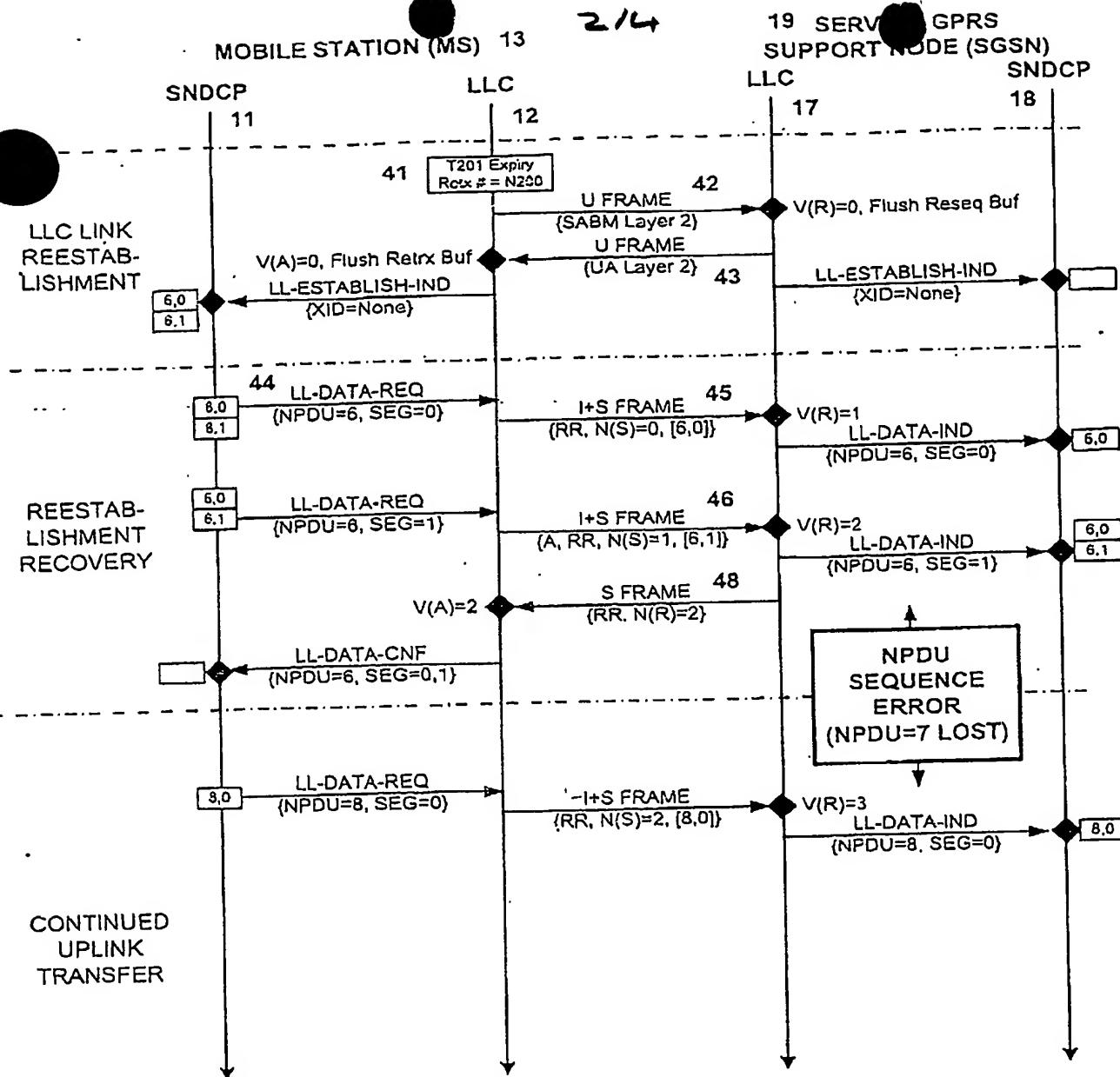


Figure 1b

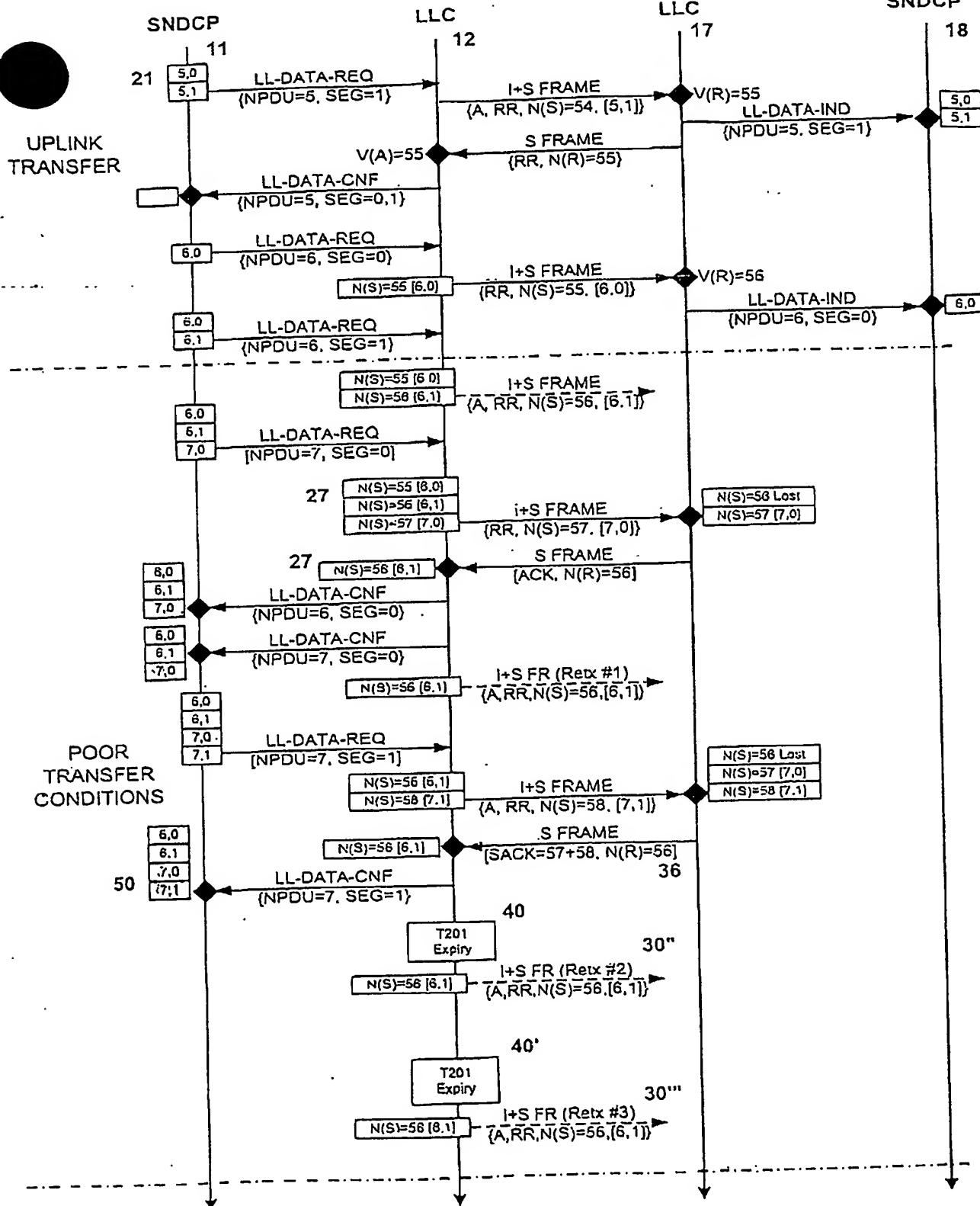


Figure 2a

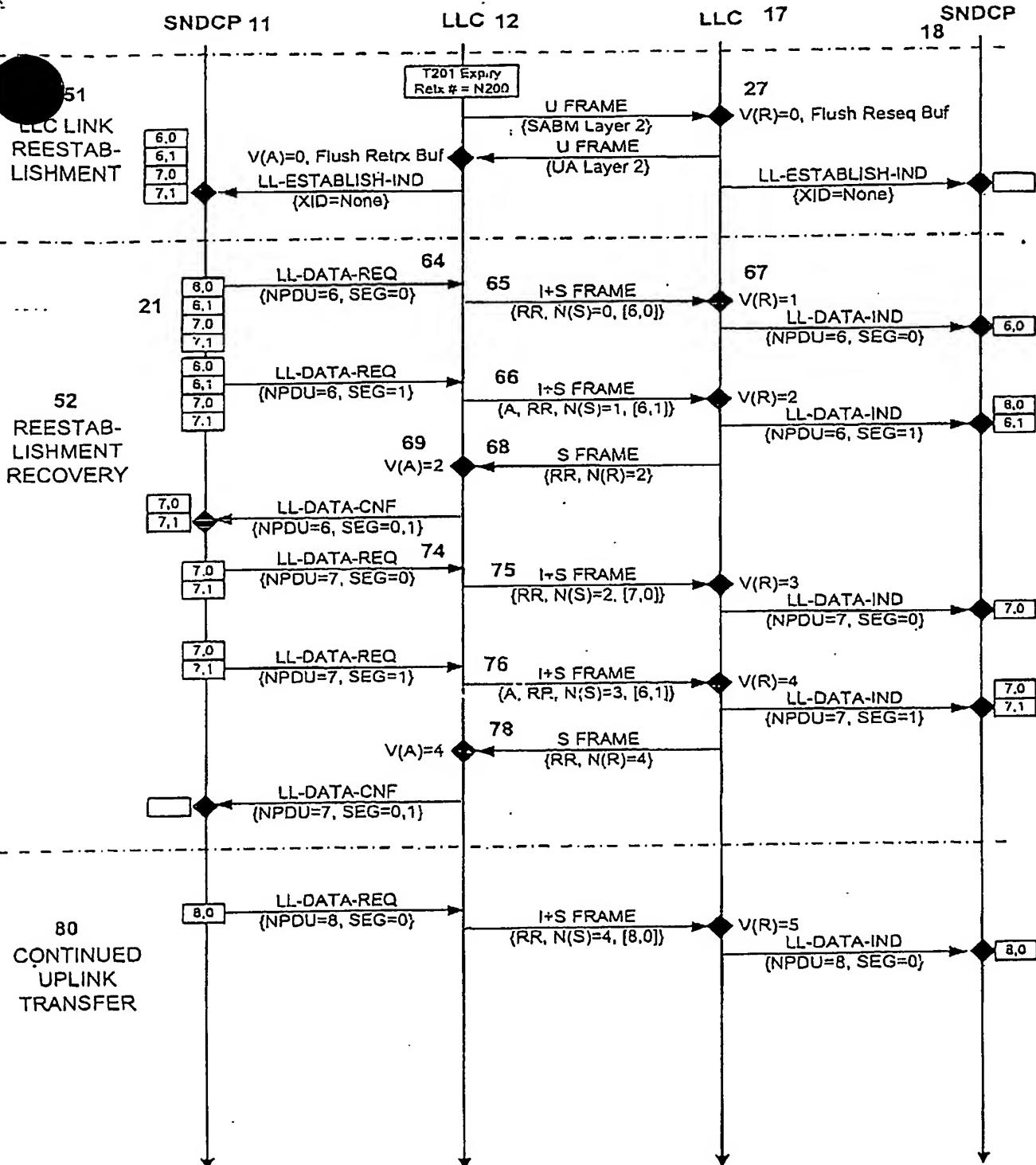


Figure 2b

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.